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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/033,526	11/02/2001	Yadong Huang	UCAL217

CONFIRMATION NO. 7367

**FORMALITIES LETTER** \*OC000000007383591\*

Paula A. Borden Bozicevic, Field and Francis LLP Suite 200 200 Middlefield Road Menlo Park, CA 94025

Date Mailed: 01/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$519. 04/11/2002 HBERHE 00000041 500815 10033526
  - \$99 for 11 total claims over 20.
  - \$420 for 10 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Typed or printed name

Signature

Cindy Kim Hoang



Amp

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Date | March 26, 2002

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/033,526 Application Number November 2, 2001 Filing Date **TRANSMITTAL** First Named Inventor **FORM** HUANG, YADONG Group Art Unit 1632 (to be used for all correspondence after initial filing) **Examiner Name** To Be Assigned Attomey Docket Number UCAL217 Total Number of Pages in This Submission ENCLOSURES (check all that apply) M Fee Transmittal Form **Assignment Papers** After Allowance Communication (for an Application) to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Reply Licensing-related Papers After Final Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Affidavits/declaration(s) Proprietary Information Petition to Convert to a **Extension of Time Request Provisional Application** Status Letter **Express Abandonment Request** Power of Attorney, Revocation Change of Correspondence Information Disclosure Statement Other Enclosure(s) (please Address identify below): Terminal Disclaimer Certified Copy of Priority **Executed Declaration and** Documents Power of Attorney (2 pgs.) Request for Refund **Notice to File Missing** M Response to Missing Parts/ Parts (1 pg.) Incomplete Application **Return Postcard** CD, Number of CD(s). Response to Missing Parts Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm PAULA A. BORDEN, Reg. No. 42,344 Individual Name Signature Date March 26, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 26, 2002.

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## perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL Application Number 10/033,526 November 2, 2001 for FY 2002 Filing Date First Named Inventor **HUANG, YADONG** Patent fees are subject to annual revision. **Examiner Name** To Be Assigned 1632 Group Art Unit **UCAL217** Attorney Docket No. TOTAL AMOUNT OF PAYMENT (\$) 954.00 FEE CALCULATION (continued) **METHOD OF PAYMENT** The Commissioner is hereby authorized to charge indicated 3. ADDITIONAL FEES Large fees and credit overpayments to: Entity Entity Deposit Account Number 50-0815 Fee Fee Fee Fee Deposit Account Name Bozicevic, Field & Francis LLP Fee Paid **Fee Description** Code (\$) Code (\$) □ Charge Any Additional Fee Required 65.00 Under 37 CFR 1.1 6 and 1.17 205 65 Surcharge - late filing fee or oath 105 130 Applicant Claims small entity status. 127 50 227 25 Surcharge - late provisional filing fee or See 37 CFR 1.27 cover sheet 130 139 130 Non-English specification 2. Payment Enclosed: 139 2,520 For filing a request for ex parte reexamination ☐ Check ☐ Credit Card ☐ Money Order ☐ Other 147 2,520 147 Requesting publication of SIR prior to 112 920\* 112 **FEE CALCULATION** Examination action **BASIC FILING FEE** 1.840\* 113 1.840\*Requesting publication of SIR after 113 **Entity Small Entity** Examiner action Large Fee Fee Fee Description Fee Paid 110 215 55 Extension for reply within first month 115 Code (\$) Code (\$) 200 Extension for reply within second month 370 Utility filing fee 116 400 216 740 201 370.00 101 Extension for reply within third month 920 460 165 Design filing fee 117 217 106 330 Extension for reply within fourth month 118 1,440 218 720 107 510 207 255 Plant filing fee Extension for reply within fifth month 128 1,960 228 208 370 Reissue filing fee 108 740 Provisional filing fee 119 320 219 160 Notice of Appeal 160 214 80 114 Filing a brief in support of an appeal 120 320 220 160 370.00 SUBTOTAL (1) Request for oral hearing 280 221 121 140 1. EXTRA CLAIM FEES 138 1,510 138 1,510 Petition to institute a public use proceeding Fee from Petition to revive - unavoidable 140 110 240 Extra Claims below Fee Paid 1,280 241 640 Petition to revive - unintentional 141 31 -20\*\* = 11 = 99.00 Total Claims Indep Claims 13-3\*\* Multiple Dependent = 10 = 420.00142 1,280 242 640 Utility issue fee (or reissue) 460 230 Design issue fee 143 243 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner Entity Large Entity Small Processing fee under 37 CFR 1.17(a) 123 50 123 50 Fee Fee Description Fee Submission of Information Disclosure Stmt Code (\$) Code (\$) 126 180 126 180 Claims in excess of 20 103 18 581 40 581 40 Recording each patent assignment per property (times number of properties) Independent claims in excess of 3 102 84 202 42 370 For each additional invention to be 740 246 146 examined (37 CFR § 1.129(a)) 140 Multiple dependent claim, if not paid 280 204 For each additional invention to be 370 149 740 249 42 \*\* Reissue independent claims 209 109 84 examined (37 CFR § 1.129(b)) over original patent Request for Continued Examination (RCE) 740 279 370 Reissue claims in excess of 20 Request for expedited examination 110 18 210 9 900 169 900

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Paula A. Borden	Registration No.	42,344	Telephone	(650) 327-3400
Signature (	C Tu			Date	03/26/2002

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

of a design application

SUBTOTAL (3) (\$) 65.00

169

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SUBTOTAL (2) \$ 519.00

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